DEAN SWEAT, LCB, DBS

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TRADE COMPLIANCE / IMPORT-EXPORT ANALYSIS & COMPLIANCE

Over 15 years of diverse experience in the laws and regulations governing international trade, including multiple years in the private sector. Strong background knowledge and skills in both import and export regulations. Track record of achievements includes launch of intra-company shipment program and key contributions to multiple company awards. Master's degree.

Overview
➢ Uphold strict adherence to all regulatory requirements; experience in interactions w/ foreign governments.
➢ Fully able to clear commercial shipments for another's account as a Licensed Customs Broker (LCB).
➢ Excel in simplifying complex processes, communicating trade functions, and driving cost-saving opportunities.
➢ Highly versatile, sharp, and hard-working professional; effective writer; solid relationship builder and coordinator.

Selected Knowledge & Skill Areas:
Trade Compliance; Customs Compliance; Import Analysis; Export Analysis; Coordination with Law Enforcement; New Program Development & Implementation; Physical & Electronic Search Warrants; Intellectual Property Rights (IPR) Investigations; Internal & External Collaborations; MS Office Proficiency; Intermediate Chinese Mandarin; Investigations Management; Outreach Program Implementation; CBP; HTS; ECCN; SDNs; EAR; ITAR; ABI; ACH; AES; ACS; ACE

PROFESSIONAL EXPERIENCE

YOUNG LIVING ESSENTIAL OILS - Salt Lake City, UT - 2018 - Present
A rapidly growing multi-level marketing company based in Lehi, UT which sells globally sourced essential oils and other products.
International Trade Operations Officer
Review incoming purchase orders to exercise Lacey Act Due Care as well as compliance to CBP, FDA, and USDA regulations. Address other import, export, and warehouse related issues involving sourcing and exporting to and from a wide variety of foreign destinations.

- **Assist with classification of products for U.S. imports and exports** according to the Harmonized Tariff Schedule of the U.S., Schedule B of the U.S. Census Bureau, Commerce Control List or the Export Administration Regulations.

- **Assist with obtaining and managing state and federal permits and certifications r**equired for the import, use, and export of materials and products, including but not limited to those required by USDA (APHIS), FWS, EPA, and FDA.

- **Obtain, oversee, and maintain records required** for compliance with federal law, including the Lacey Act, Convention on International Trade in Endangered Species (CITES), Endangered Species Act (ESA), and other statutes and regulations.

- **Establish an export compliance program** for perpetual screening of thousands of overseas vendors and transactional screening for hundreds of thousands from domestic distribution and international hubs.

WIND RIVER, AN INTEL COMPANY - Alameda, CA - 2017
A subsidiary company of Intel providing embedded software which comprises industry specific software solutions, simulation technology, development tools, and middleware. The company's software can be found in the Mars rover, medical devices, and military hardware.
Export Associate
Review millions of dollars worth of export orders for electronic and material software under software innovator and wholly-owned Intel subsidiary, Wind River. Perform restricted party listing queries. Serve as communication bridge between Intel and Wind River.

- **Became knowledgeable in complex and difficult category of Export Administration Regulations (EAR)**, learning about Category Five / Commodity Control List which covers Information Systems and encryption.

- **Assisted with re-classifying various Wind River products** and with uploading them into automated database.

- **Grew in understanding of Wind River's order review process**, which includes applying appropriate Export Control Classification Number (ECCN), screening for restricted parties, and addressing export concerns.

U.S. IMMIGRATIONS & CUSTOMS ENFORCEMENT (ICE) – Multiple Locations – 2010-2016
U.S. federal law enforcement agency under the Department of Homeland Security responsible for identifying, investigating, and eliminating vulnerabilities regarding the nation's border, economic, transportation, and infrastructure security.

Special Agent, Homeland Security Investigations – San Francisco, CA (2010-2016)

Joined the Commercial Fraud Group in San Francisco to work on investigations of counterfeit software (Adobe, AutoCAD, Intuit) and pharmaceuticals (Eli Lilly's Cialis, Pfizer's Viagra). Interacted with numerous departments and team members in Customs and Border Protection (CBP), including Entry Teams, Entry Summary Teams, Commodity Specialists, and Regulatory Auditors.

Monitored compliance from the Trade community, using Automated Broker Interface (ABI), Automated Clearing House (ACH), Automated Export System (AES), and Automated Commercial Systems (ACS). Performed comprehensive investigations of federal import law and intellectual property rights (IPR) investigations. Supported others in gang and child predator investigations.

- **Facilitated successful prosecution of company defrauding the U.S. of customs duties.** Collaborated with the CBP in a joint prosecution effort, resulting in multimillion-dollar criminal and civil penalties.

- **Contributed to successful Intellectual Property Rights (IPR) investigations** involving Apple, Pfizer, Eli Lilly, AutoCAD, and Intuit, leading to indictments, arrests, and convictions.

- **Converted case documents to PDFs and electronic formats** that represented a highly organized approach and provided an easily digestible means for the U.S. attorney to begin working on the case.

- **Completed multiple physical and electronic search warrants.**

Special Agent, Homeland Security Investigations – Los Angeles, CA (2005-2010)

Worked in the Counter Proliferation Investigations (CPI) Group that investigated violations of U.S. export laws. Performed full-scale investigations of U.S. export laws, including International Trade in Arms Regulations (ITAR), Export Administration Regulations (EAR), Office of Foreign Assets Control (OFAC), and International Emergency Economic Powers Act (IEEPA). Delivered outreach seminars to members of the trade community, including exporters, tech companies, freight forwarders, and customs brokers.

- **Contributed to the cessation of shipments to Iran** by completing multiple search warrants of suspected Office of Foreign Assets Control (OFAC) embargo violators.

- **Successfully prosecuted suspected smuggler of ITAR items** through completing comprehensive physical and electronic search warrants.

- **Completed multiple successful export investigations** by managing data from systems utilized by the export community, including the AES.

- **Leveraged LCB knowledge to identify and seize items destined for Syria** in violation of the Export Administration Regulations (EAR).

- **Instituted outreach programs to advise various members of the export community** on U.S. foreign trade regulations and trade compliance, resulting in information that led to 2 indictments.

STRATEX NETWORKS (currently Aviat Networks) – San Jose, CA – 2004-2005
Global provider of microwave networking solutions, providing public and private operators with communications networks.

Export Compliance Administrator

Identified Harmonized Tariff Numbers (HTS) and Export Commodity Control Numbers (ECCN) as well as EAR and ITAR for all international shipments. Conducted routine screening for Denied Parties, Specially Designated Nationals (SDNs), and embargoed destinations for shipments as well as for new employees. Implemented Bureau of Industry & Security (BIS), U.S. Department of Commerce, and CBP trade policies. Communicated at all levels of the organization, from loading dock personnel to engineers to the VP of Counsel; took on responsibility to maintain legality of exports.

- **Created database of ECCN and USHTS in partnership with R&D and Legal departments,** resulting in uniformity of items and cost savings for both incoming and outgoing shipments.
- **Collaborated with software developers to design software that tracked intra-company shipments,** enabling consolidation and cost control as a result.
- **Established export screening program for new customers and employees** to ensure export compliance.

PBB GLOBAL LOGISTICS – South San Francisco, CA – 2003-2004
Medium-sized company specializing in worldwide logistics services.
Customs Broker

Hired to expand the office and maintain profitability. Imported various commodities, including food (FDA), textiles, steel (anti-dumping), semiconductors, electronics, live fish, and automobiles among others. Ensured compliance with CBP and other government agency (OGA) requirements.

- **Played key role in San Francisco office receiving several recognition and performance awards** from PBB Corporate (accomplishing within 1 year what other offices had taken years to achieve).
- **Broadened knowledge in various facets of a customs broker position,** including anti-dumping regulations.

PRIOR POSITIONS:

Assistant Manager, World Asia Logistics – Handled customs issues arising with the Samsung Electronics account as well as with new customers. Qualified the company's permit in the Port of San Francisco. Standardized procedures that had been placed by an amalgamation of predecessors. Supervised 2 direct reports.

Management Trainee, Expeditors International – Worked in all areas of a full-service logistics provider over the course of a year, including air export gateway, import customs brokerage, import break bulk, distribution, and sales. Completed all requirements of management training program and earned Customs Brokerage license.

EDUCATION & CREDENTIALS

Masters in International Trade and Commercial Diplomacy
MONTEREY INSTITUTE OF INTERNATIONAL STUDIES – Monterey, CA

Bachelors in Political Science
UNIVERSITY OF UTAH – Salt Lake City, UT

Licenses/Certifications/Affiliations
Customs Brokerage License; International Air Transportation Association; International Federation of Freight Forwarders Association